

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Smit  
Serial No. : 09/743,731  
Filed : January 12, 2001  
Title : CLEAVAGE OF CAULOBACTER PRODUCED RECOMBINANT FUSION  
PROTEINS

Art Unit : Unknown  
Examiner : Unknown

Box PCT  
Commissioner for Patents  
Washington, D.C. 20231

5000

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In response to the communication dated April 2, 2001 (copy enclosed), applicant submits herewith a Sequence Listing in computer readable form as required by 37 CFR §1.824. In addition, applicant submits a Sequence Listing as required under 37 CFR §1.823(a) and a statement under 37 CFR §1.821(f).

Applicant respectfully requests entry of the Sequence Listing filed herewith. Please insert the Sequence Listing in the appropriate place in the instant application.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL259011499US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit April 25, 2001

Signature Samantha Bell

Typed or Printed Name of Person Signing Certificate Samantha Bell

Applicant : John Smit  
Serial No. : 09/743,731  
Filed : January 12, 2001  
Page : 2

Attorney's Docket No.: 08106-004001 / 82104-17

I hereby state that this submission, filed in accordance with 37 CFR §1.821(g), does not contain new matter.

Respectfully submitted,

Date: 4-25-01

John T. Li  
John T. Li  
Reg. No. 44,210

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

20221437.doc

10 Rec'd PCT/PA 25 APR 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/743731	SMIT	J 08106-004001
INTERNATIONAL APPLICATION NO.		
PCT/CA99/00637		
I.A. FILING DATE		PRIORITY DATE
14 JUL 99		14 JUL 98

Docketed By Practice Systems  
 Action Code: Seq Listing  
 Base Date: 7-2-01  
 Due Date: 5-2-01  
 Deadline: 10-2-01  
 Initial: JMB

 JOHN T LI  
 225 FRANKLIN STREET  
 BOSTON, MA 02110 2804

DATE MAILED: 02 APR 2001

### NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- 5000
- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
  - ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
  - ☐ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(e).
  - ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
  - ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
  - ☐ Other: \_\_\_\_\_

#### APPLICANT MUST PROVIDE:

- ☒ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☐ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☐ A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE CALL:

(703) 308-4216, for Rules interpretation,  
 (703) 308-4212, for CRF submission help,  
 (703) 287-0200, for PatentIn software help.

Christine S. Washington

Telephone: 703-305-3752

FORM PCT/DO/EO/920 (March 2001)

Docketed By	_____
Due Date	_____
Deadline	_____
Initials	_____